

**GREATER NORSIDE MANAGEMENT DISTRICT
OVERALL DBE GOAL CALCULATION
FY 2017 - 2019**

The process used by Greater Northside Management District (GNMD) to establish its DBE goal for fiscal year (FY) 2017 through 2019 is summarized below. GNMD followed a two-step process based on documented evidence of the availability of ready, willing and able DBEs relative to all businesses ready, willing, and able to participate in GNMD’s FTA assisted contracts, which is referred to as relative availability of DBEs and establishes the base goal. The goal reflects GNMD’s expected level of participation by DBEs.

Step 1 – Establish Base Goal

GNMD considered all the possible FTA assisted contracting opportunities for award in FY 2017 through 2019 and compiled the North American Industry Classification System (NAICS) codes for the work included in the contracting opportunities. GNMD established a market area of the TxDOT Houston Work District to determine the total number of DBE ready, willing, and able businesses and all statewide ready, willing, and able businesses available to perform work in the same NAICS codes. In the new Texas Unified Certification Program (TUCP), DBE Directories are searched by NAICS codes by TxDOT work districts instead of counties like the past TUCP. The 2014 US Census County Business Patterns were used to determine the total ready, willing and able firms. The reason to use statewide total firms came from the GNMD DBE Directory were mainly from firms certified to perform work statewide and their physical addresses are not located within the work district.

GNMD does not provide transit operations, but does receive federal funding through grants for pedestrian improvements to support access to transit. GNMD only has one federally funded project with engineering and construction elements expected within the next three years.

GNMD used the suggested calculation tables to determine the weighted base goal. There is one engineering project and one pedestrian construction project that are programmed within the next three years. Tasks involved in these two projects include engineering, sidewalk construction, curb construction, electrical installation, site preparation, and landscaping.

1 - Determine the weight of each type of work by NAICS Code

Weighted NAICS Codes			
NAICS Code	Project	Amount of DOT funds on project:	% of total DOT funds (weight)
237310	Quitman Street Pedestrian Improvements	\$456,168.00	0.4462
238210	Quitman Street Pedestrian Improvements	\$9,600.00	0.0094
238910	Quitman Street Pedestrian Improvements	\$226,952.00	0.2220
238990	Quitman Street Pedestrian Improvements	\$161,302.40	0.1578
335129	Quitman Street Pedestrian Improvements	\$80,000.00	0.0782
541310	Quitman Street Pedestrian Improvements	\$27,600.00	0.0270
541330	Quitman Street Pedestrian Improvements	\$46,400.00	0.0454
541380	Quitman Street Pedestrian Improvements	\$4,800.00	0.0047

541611	Quitman Street Pedestrian Improvements	\$9,600.00	0.0094
Total FTA-Assisted Contract Funds		\$3,870,000.00	1.0000

2 - Determine the relative availability of DBE's by NAICS Code

Firm Relative Availability				
NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability
237310	Quitman Street Pedestrian Improvements	250	699	0.3577
238210	Quitman Street Pedestrian Improvements	98	4,541	0.0216
238910	Quitman Street Pedestrian Improvements	143	2,088	0.0685
238990	Quitman Street Pedestrian Improvements	174	2,151	0.0809
335129	Quitman Street Pedestrian Improvements	2	13	0.1538
541310	Quitman Street Pedestrian Improvements	75	1,413	0.0531
541330	Quitman Street Pedestrian Improvements	348	5,087	0.0684
541380	Quitman Street Pedestrian Improvements	46	691	0.0666
541611	Quitman Street Pedestrian Improvements	18	5,893	0.0031
Combined Totals		1154	22576	0.0511

3 - (Weight) x (Availability) = Weighted Base Figure

Weighted Base Figure					
NAICS Code	Project	Weight	x	Availability	Weighted Base Figure
237310	Quitman Street Pedestrian Improvements	0.44616	x	0.35765	0.1596
238210	Quitman Street Pedestrian Improvements	0.00939	x	0.02158	0.0002
238910	Quitman Street Pedestrian Improvements	0.22197	x	0.06849	0.0152
238990	Quitman Street Pedestrian Improvements	0.15776	x	0.08089	0.0128
335129	Quitman Street Pedestrian Improvements	0.07825	x	0.15385	0.0120
541310	Quitman Street Pedestrian Improvements	0.02699	x	0.05308	0.0014
541330	Quitman Street Pedestrian Improvements	0.04538	x	0.06841	0.0031
541380	Quitman Street Pedestrian Improvements	0.00469	x	0.06657	0.0003
541611	Quitman Street Pedestrian Improvements	0.00939	x	0.00305	0.0000
Total					0.2047
Percentage					20%

Step 2 – Base Goal Adjustment

GNMD has never had a DBE Program before and has never set a DBE Goal for participation. Due to the last of past performance, GNMD will not adjust the weighted goal. GNMD will set the DBE goal at 20.0% based on the weighted goal setting and monitor how much DBE participation occurs over the next three (3) years. The DBE participation for the next three years will be reflected in the next DBE goal setting.

Breakout of Estimated Race-Neutral & Race Conscious Participation

GNMD will meet the maximum feasible portion of its overall goal by using both race-neutral and race-conscious means of facilitating DBE participation. GNMD uses race-neutral means, including but not limited to providing DBE and SBE Directories, creating bidders lists, proactive outreach events with DBE firms in the area, and advertising prime contracts to DBEs, to increase DBE participation.

GNMD estimates that, in meeting our overall goal of 20.0%, GNMD will obtain 100.0% from race-neutral participation and 0.0% from race-conscious participation.

The following is a summary of the estimated breakout of race-neutral and race-conscious DBE participation:

GNMD's program will be implemented with entirely race-neutral means. If GNMD uses contract goals, GNMD will adjust the estimated breakout of race-neutral and race-conscious participation as needed to reflect actual DBE participation (see 26.51(f)) and GNMD will communicate this change to the program to FTA.

GNMD does not intend to achieve any DBE participation by using contract goals.

If GNMD revise its program and use contract goals, GNMD will track and report race-neutral and race-conscious participation separately. For reporting purposes, race-neutral DBE participation includes, but is not necessarily limited to, the following: DBE participation through a prime contract a DBE obtains through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry DBE goal; DBE participation on a prime contract exceeding a contract goal; and DBE participation through a subcontract from a prime contractor that did not consider a firm's DBE status in making the award.